

## Alpine Investors Privacy Notice for California Residents

**Effective Date:** April 2021

This **Privacy Notice for California Residents** applies solely to all natural persons acting as a job applicant to, an employee of, owner of, director of, officer of, medical staff member of, or contractor of the Company who reside in the State of California (“Covered Individuals” or “you”). We adopt this notice to comply with the California Consumer Privacy Act of 2018 (“CCPA”) and any terms defined in the CCPA have the same meaning when used in this Notice.

### **What Information Do We Collect About You?**

We collect limited types of personal information that identifies, relates to, describes, references, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a Covered Individual (“personal information”).

In particular, we have collected the following categories of personal information from Covered Individuals within the last twelve (12) months:

| <b>Category</b>   | <b>Examples</b>   | <b>Collected</b> |
|---|---|------------------|
| A. Identifiers.   | A real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, Social Security number, driver’s license number, passport number, state identification card number, or other similar identifiers.  | Yes              |
| B. Personal information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80(e)). | A name, signature, Social Security number, physical characteristics or description, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information.<br>Some personal information included in this category may overlap with other categories. | Yes              |
| C. Protected classification characteristics under California or federal law.  | Age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information).  | Yes              |
| D. Commercial information.  | Records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.  | No               |

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|---|---|-----|
| E. Biometric information.   | Genetic, physiological, behavioral, and biological characteristics, or activity patterns used to extract a template or other identifier or identifying information, such as, fingerprints, faceprints, and voiceprints, iris or retina scans, keystroke, gait, or other physical patterns, and sleep, health, or exercise data. | No  |
| F. Internet or other similar network activity.  | Browsing history, search history, information on an Covered Individual’s interaction with a website, application, or advertisement.   | Yes |
| G. Geolocation data.  | Physical location or movements.   | Yes |
| H. Sensory data.  | Audio, electronic, visual, thermal, olfactory, or similar information.  | Yes |
| I. Professional information.  | Current or past job history or performance evaluations, pay stubs, timesheets, direct deposit information, tax withholding information  | Yes |
| J. Non-public education information (per the Family Educational Rights and Privacy Act (20 U.S.C. Section 1232g, 34 C.F.R. Part 99)). | Education records directly related to a student maintained by an educational institution or party acting on its behalf, such as grades, transcripts, class lists, student schedules, student identification codes, student financial information, or student disciplinary records.  | Yes |
| K. Inferences drawn from other personal information.  | Profile reflecting a person’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.   | Yes |

**How Do We Obtain Your Personal Information?**

In connection with our professional relationship with you, we obtain the categories of personal information listed above from the following categories of sources:

- Directly from you. For example, from employment- and/or work-related forms you complete.
- Indirectly from you. For example, from observing your actions at work or on our Company network or from background checks performed in connection with our relationship with you.

We may combine personal information that you provide to us with information that we collect from or about you from publicly available sources, as well.

**How Do We Use Your Personal Information?**

We may use or disclose the personal information we collect for one or more of the following business purposes:

- To provide, support, personalize, and develop our services and employment experience.
- To create, maintain, customize, and secure aspects of your relationship with us.
- To detect security incidents and to protect against malicious, deceptive, fraudulent, or illegal activity.
- To provide you with support and to respond to your inquiries, including to investigate and address your concerns and monitor and improve our responses.
- For testing, research, analysis, and product development, including to develop and improve our Website, products, and services, and employment experience.
- To generally comply with U.S., state, local and non-U.S. laws, rules and regulations.

### **Who Do We Share Your Personal Information With?**

We do not sell any of the personal information we collect about you to third parties.

We do not disclose any nonpublic personal information about you to anyone, except as permitted or required by law or regulation and to affiliates and service providers, including but not limited to administrators (including benefit plan administrators), lenders, banks, insurance companies, auditors, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. We may also disclose your information to other parties as may be required by law or regulation, or in response to regulatory inquiries.

Within the last twelve (12) months, we have shared each of the categories of personal information collected in connection with this website with affiliates and service providers as set forth above in **“What information do we collect about you?”**

We may also share your personal information with applicable third parties in the event of a reorganization, merger, sale, acquisition, assignment, bankruptcy proceeding, or other disposition of all or a portion of our business, assets or shares.

### **How Do We Keep Your Personal Information Secure?**

We consider the protection of sensitive information to be a sound business practice, and to that end we employ appropriate organizational, physical, technical and procedural safeguards, which seek to protect your personal information in our possession or under our control to the extent possible from unauthorized access and improper use.